

# Dose escalation clinical trials (update paradigm shifts in NET therapy)

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Centre of Excellence  
The Christie NHS Foundation Trust





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European  
**ENETS**  
Neuroendocrine Tumor Society

**MAHSC**  
Manchester Academic Health Science Centre (MAHSC)

2014 Overall Assessment (excerpt):  
“...the NET Service at The Christie is exemplary well organized and equipped. The Christie fulfils all major criteria of excellence and represents one of the leading NET institutions in Europe”



CANCER  
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Over 500 active clinical trials  
currently open with 8 in NET  
alone



The Christie

# MRT in NET

PRESS THE RESET BUTTON



# Objectives/Concepts

- NET brief disease description – setting the scene
- Pancreatic NET- a model for consideration
- Therapeutics- core principles and ideas
- Future



# Spectrum of Disease(s)

## Neuroendocrine tumours



Benign

G1

G2

G3

Malignant

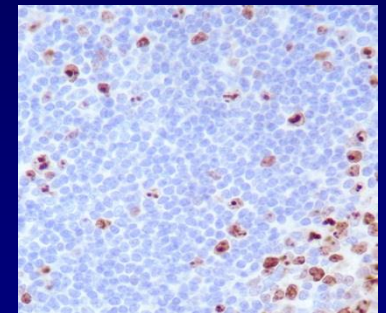
Increasing grade

<2%-----20%-----70%+

Functional vs. non-functional

Anatomical site of origin

Progressive vs. stable



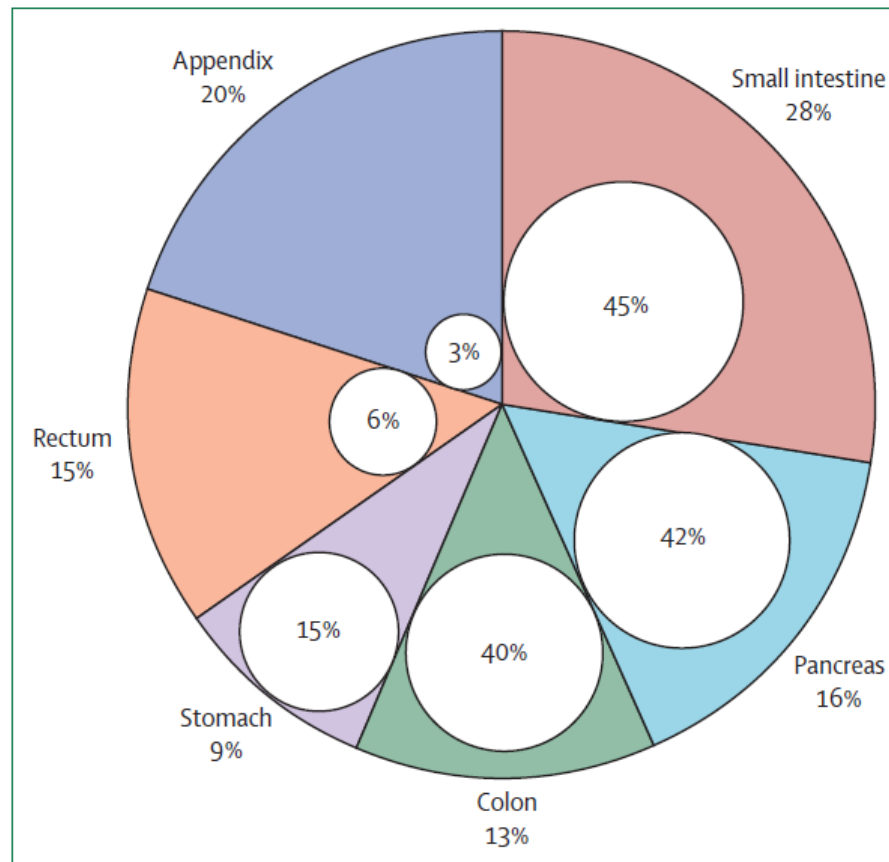
Mitotic activity  
(Ki-67 or MIB-1)



# Neuroendocrine Tumours

- Rare tumours and heterogenous group of patients, so small numbers in literature
- Rare, but in fact are increasing in incidence (3.65 per 100 000 individuals per year)
- Frequently as testicular tumours, Hodgkin's disease, gliomas, and multiple myeloma

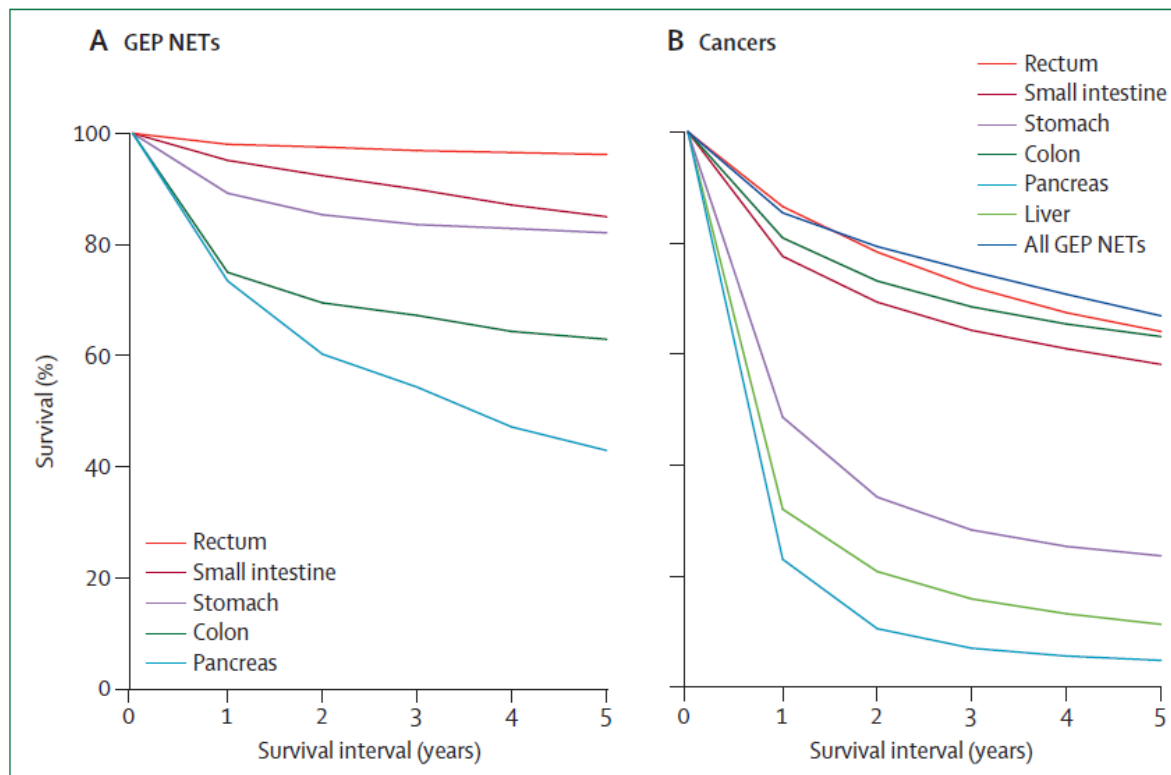




## Recommendations for management of patients with neuroendocrine liver metastases

Andrea Frilling, Irvin M Modlin, Mark Kidd, Christopher Russell, Stefan Breitenstein, Riad Salem, Dik Kwekkeboom, Wan-yea Lau, Catherine Klersy, Valerie Vilgrain, Brian Davidson, Mark Siegler, Martyn Caplin, Enrico Solcia, Richard Schilsky, for the Working Group on Neuroendocrine Liver Metastases





The 5 year survival of neuroendocrine liver metastases is less than 50%

NET 46%–93% liver involved at the time of diagnosis

*JG Touzios et al. The survival for the Resection/Ablation and the TACE groups was significantly better (**P 0.05**) when compared with the Nonaggressive group. Patients with more than **50%** liver involvement had a poor outcome (**P 0.001**). Ann Surg 2005;241: 776–785*





# NET survival

- Overall 5 year survival of 47.5% (58.1% for differentiated and 8.1% for small cell tumours)
- 55.9% for age  $\leq 65$  years and 37.5% for age  $> 65$  years
- 5 year survival was worse with distant metastases (about 30–60%)



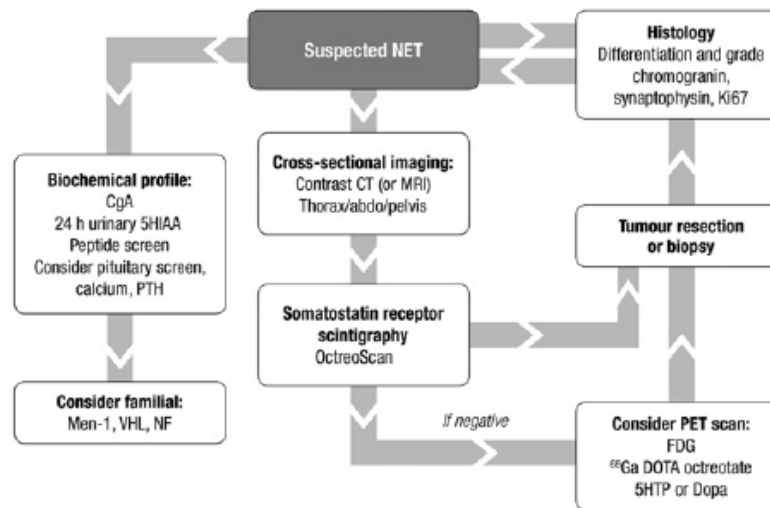


# Neuroendocrine Tumours

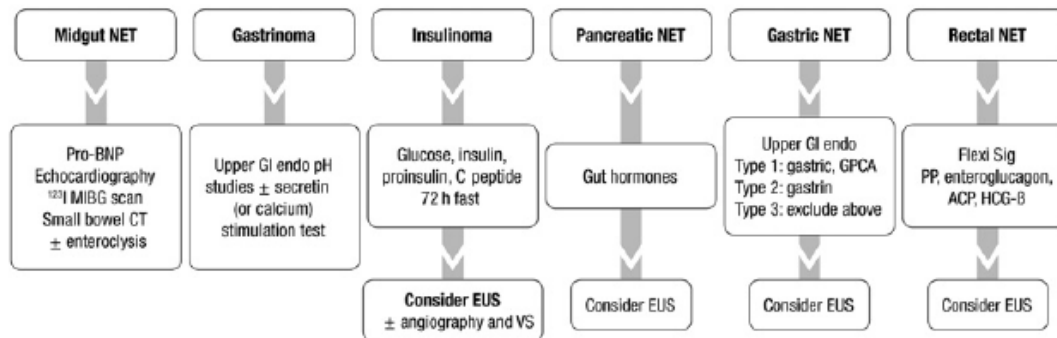
- Rare tumours and heterogeneous group of patients, so small numbers in literature.
- **But high prevalence- majority are in the palliative setting at clinical presentation**
- In fact not **so rare** as high prevalence and increasing incidence



# Investigating NET



## Additional investigations for specific NETs



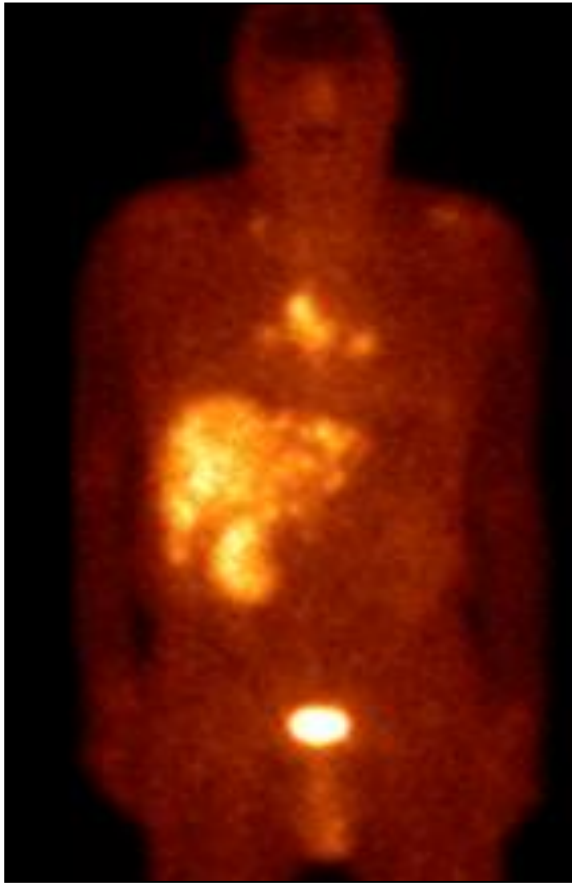
*“Molecular Imaging is aimed at the exploitation of specific molecules as the source of image contrast” Weissleder R 1999*

## Aims:

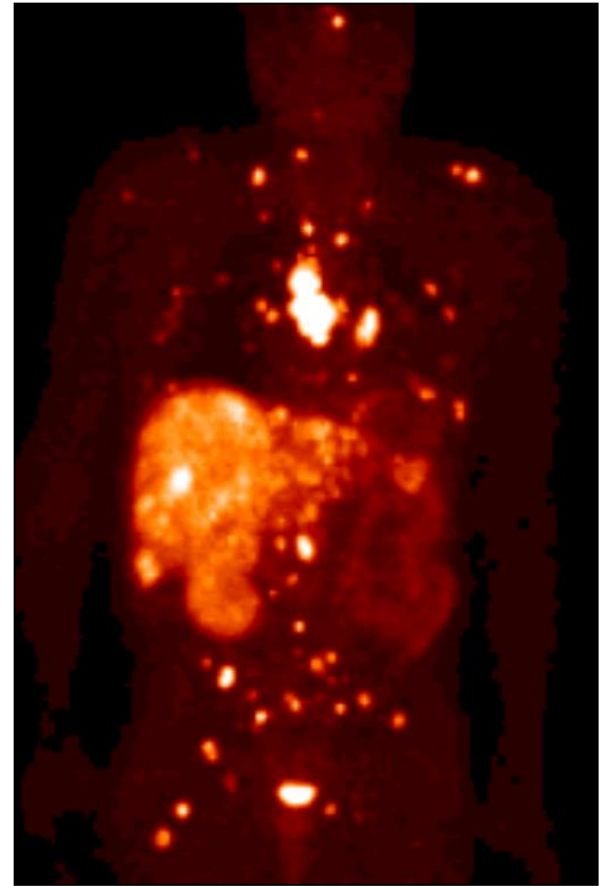
- Earlier detection and characterisation of disease (“molecular signature” prior to irreversible damage)
- Understanding of underlying biology
- Selection of specific treatment option for targeted therapy
- Concept of ‘**THERANOSTICS**’



# Molecular imaging allows better staging



[<sup>111</sup>In]Octreotide



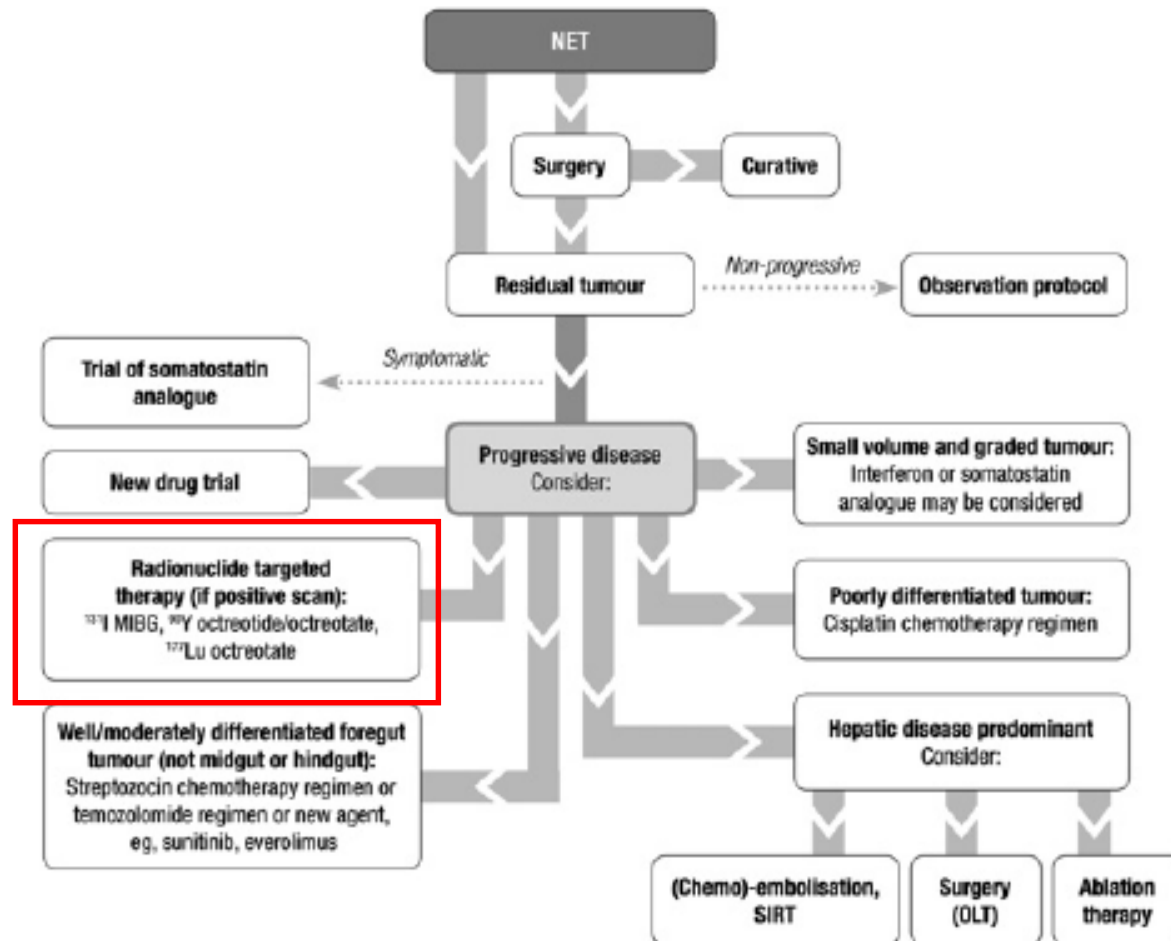
[<sup>18</sup>F]FP-Gluc-TOCA PET

Courtesy of Dr Morand Piert, UMICH, Ann Arbor, USA



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# NET therapy algorithm: Eminence vs Evidence



Gut 2012;61:6–32. doi:10.1136/gutjnl-2011-300831



**Table 1.** Tumour responses in patients with GEP tumours treated with different radiolabelled somatostatin analogues.

Centre	Ligand	Patient number	Tumour response					
			CR	PR	MR	SD	PD	CR+PR
Rotterdam <sup>23</sup>	[ <sup>111</sup> In-DTPA <sup>0</sup> ] octreotide	26	0	0	5 (19%)	11 (42%)	10 (38%)	0%
New Orleans <sup>24</sup>	[ <sup>111</sup> In-DTPA <sup>0</sup> ] octreotide	26	0	2 (8%)	NA	21 (81%)	3 (12%)	8%
Milan <sup>28</sup>	( <sup>90</sup> Y-DOTA <sup>0</sup> ,Tyr <sup>3</sup> ) octreotide	21	0	6 (29%)	NA	11 (52%)	4 (19%)	29%
Basel <sup>26,27</sup>	( <sup>90</sup> Y-DOTA <sup>0</sup> ,Tyr <sup>3</sup> ) octreotide	74	3 (4%)	15 (20%)	NA	48 (65%)	8 (11%)	24%
Basel <sup>28</sup>	( <sup>90</sup> Y-DOTA <sup>0</sup> ,Tyr <sup>3</sup> ) octreotide	33	2 (6%)	9 (27%)	NA	19 (57%)	3 (9%)	33%
Rotterdam <sup>31</sup>	( <sup>90</sup> Y-DOTA <sup>0</sup> ,Tyr <sup>3</sup> ) octreotide	54	0	4 (7%)	7 (13%)	33 (61%)	10 (19%)	7%
Rotterdam <sup>35</sup>	( <sup>177</sup> Lu-DOTA <sup>0</sup> ,Tyr <sup>3</sup> ) octreotate	76	1 (1%)	22 (29%)	9 (12%)	30 (39%)	14 (18%)	30%

GEP, gastroenteropancreatic; CR, complete remission; PR, partial remission; MR, minor remission; SD, stable disease; PD, progressive disease.

EU survey	<sup>131</sup> ImIBG	537						30%
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So PRRT has a biological effect....so does bleach!







# Theranostics at The Christie

- Used in the context of inoperable/ metastatic disease to reduce disease volume and relieve symptoms at present.
- Goal is improving outcomes through individualised treatment
- Feasibility studies have shown promise for combined  $^{90}\text{Y}$  and  $^{177}\text{Lu}$  therapy- **The Christie palliative care protocol**
- **CURRENTLY NO RCT DATA AVAILABLE**



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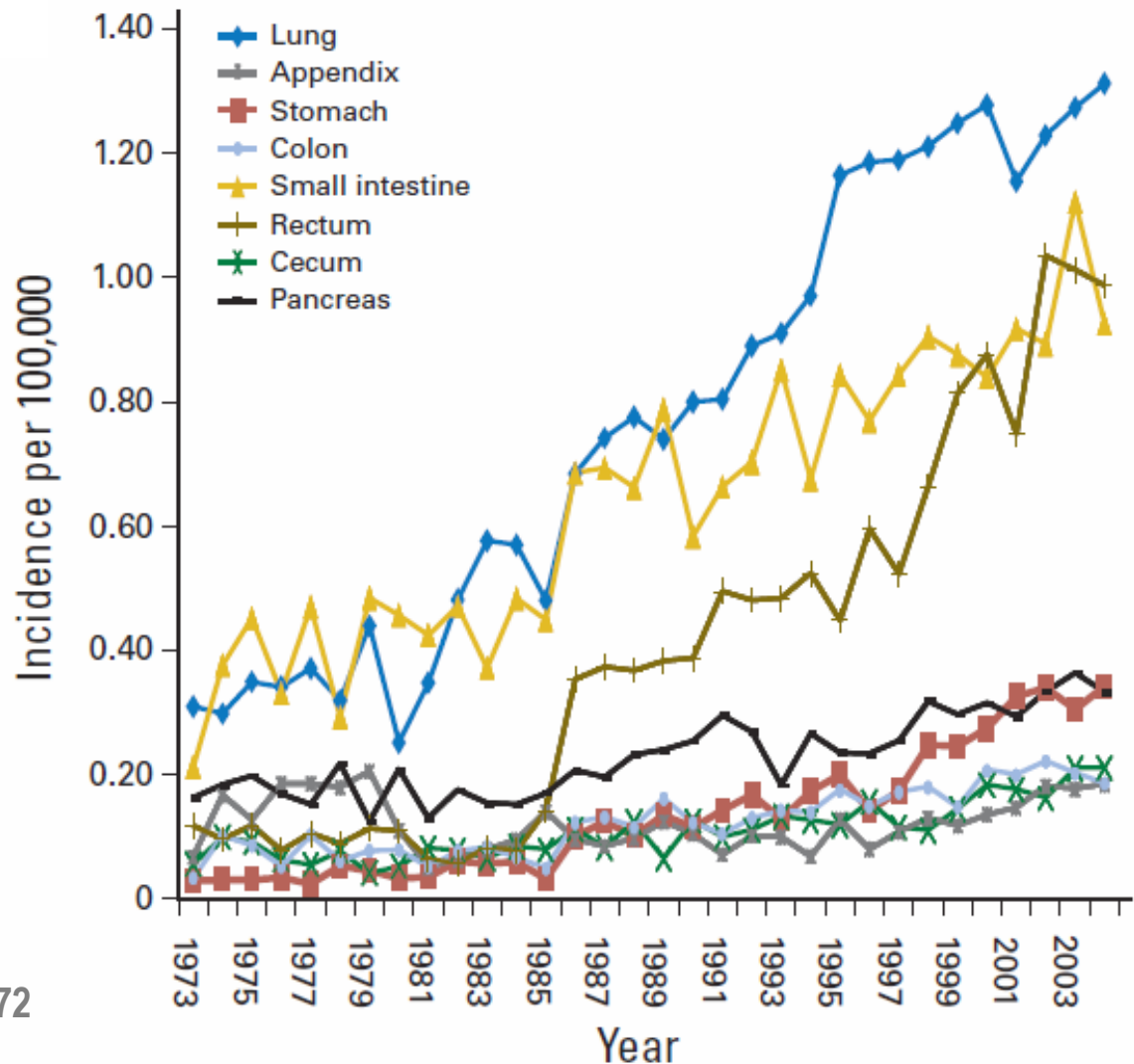
# Pancreatic NET- paradigm shift in GEP treatment strategy

- Tools of the trade
  - Surgery
  - **Somatostatin analogues**
  - **Chemotherapy**
  - **Targeted therapies**
  - Other options
    - liver-directed therapy
    - PRRT (peptide receptor radionuclide therapy)
- Principles to aid decision-making



# Pancreatic NET - epidemiology

- 2% of pancreatic cancers
- 6% of NETs
- Peak incidence: age 60–80 years
- Significant % diagnosed at age <50 years
- Increasing incidence / high(er) prevalence



# Histologic Classification of NETs<sup>1</sup>

Differentiation and grade	Mitotic count*	Ki-67 index <sup>†</sup> (%)	Traditional classification	ENETS/WHO classification <sup>2</sup>	Moran et al <sup>3</sup>
<b>Well differentiated</b>					
Low grade (grade 1)	<2	≤2	Carcinoid, islet cell, pancreatic (neuro) endocrine tumor	NET, grade 1	NEC, grade 1
Intermediate grade (grade 2)	2–20	3–20	Carcinoid, atypical carcinoid, <sup>‡</sup> islet cell, pancreatic (neuro) endocrine tumor	NET, grade 2	NEC, grade 2
<b>Poorly differentiated</b>					
High grade (grade 3)	>20	>20	Small-cell carcinoma	NEC, grade 3, small cell	NEC, grade 3, small cell
			Large-cell NEC	NEC, grade 3, large cell	NEC, grade 3, large cell

NET = Neuroendocrine tumours

NEC = neuroendocrine carcinoma

\*Per 10 high-power fields

<sup>†</sup>Cellular proliferation marker

<sup>‡</sup>Applies only to intermediate-grade NET of the lung

1. Kulke MH, et al. *J Clin Oncol* 2011;29:934–943

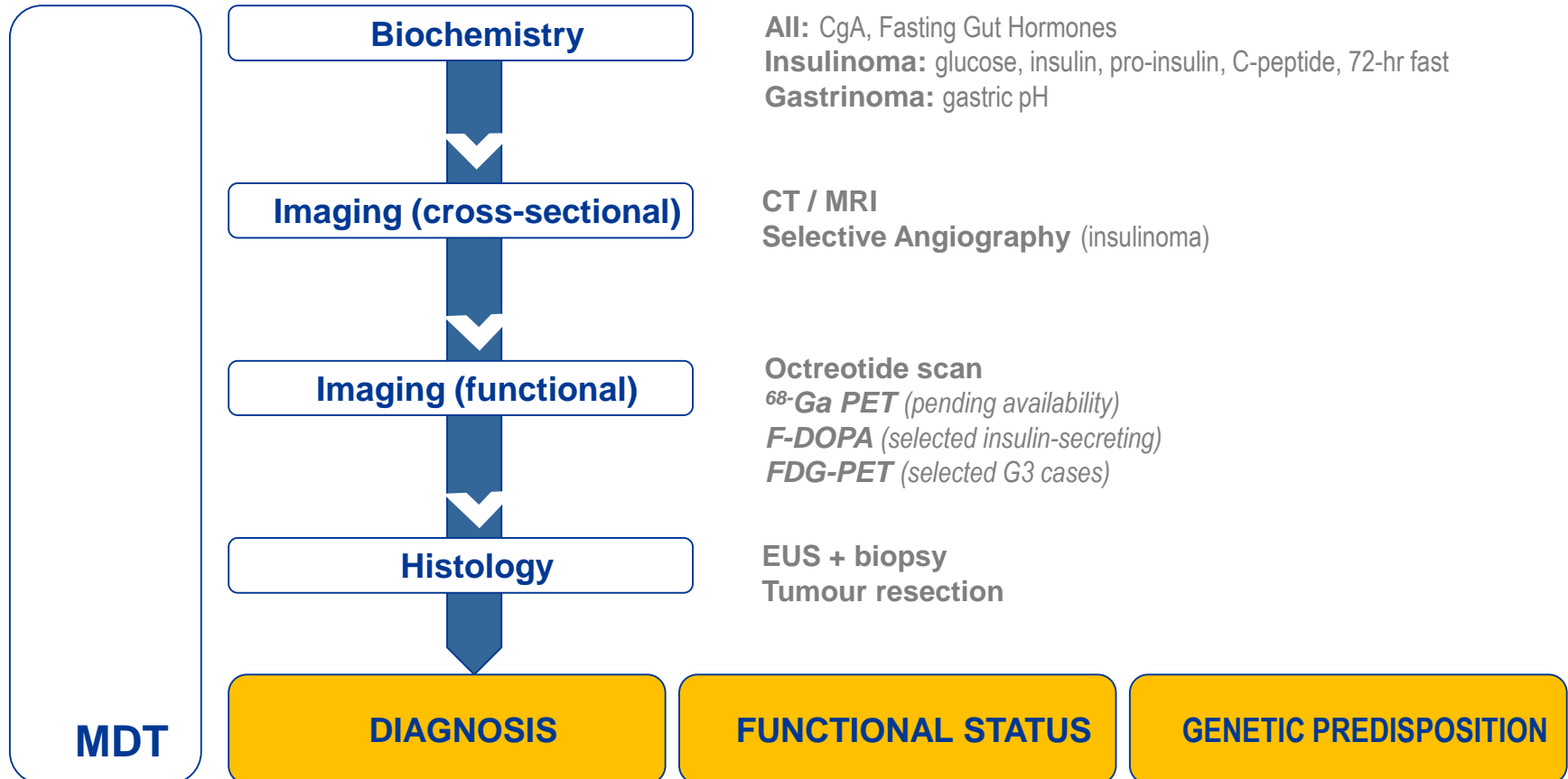
2. WHO Classification of Tumours of the Digestive System, 4<sup>th</sup> ed., 2010

3. Moran CA, et al. *Am J Clin Pathol* 2009;131:206–221

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# Diagnosis

## Suspected pNET



# Treatment (i)

## Confirmed pNET

MDT

**DIAGNOSIS**

**FUNCTIONAL STATUS**

**GENETIC PREDISPOSITION**

Hypersecretory symptoms:

- Somatostatin analogues
- Diazoxide, glucose, everolimus (insulinoma)
- PPI (gastrinoma)

MEN-1

VHL

NF-1

Tuberous sclerosis

Genetics  
Clinic

**Assess resectability**

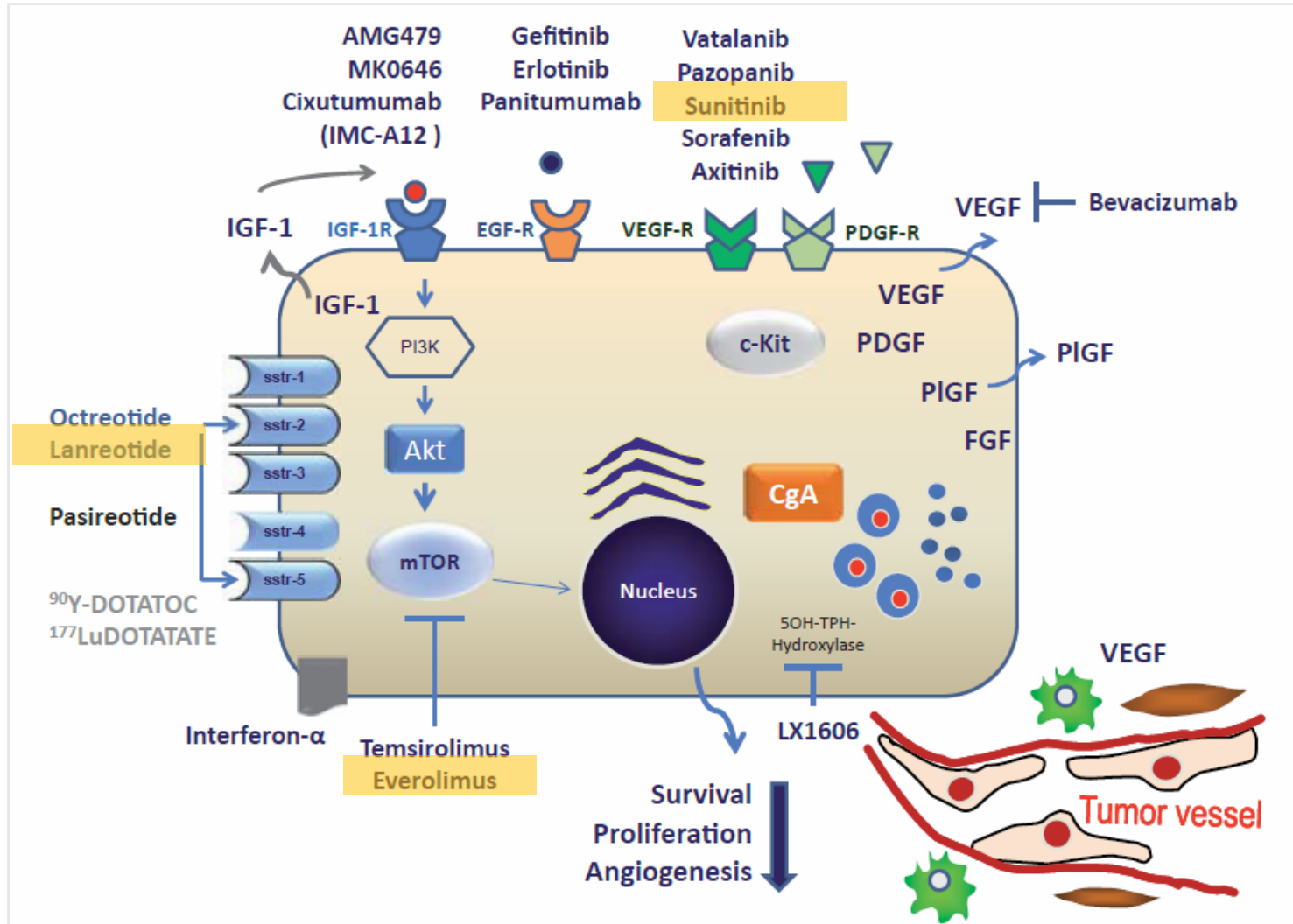
**Surgery (curative)**

**Surgery (palliative)**

**Inoperable**



# Understanding the biology

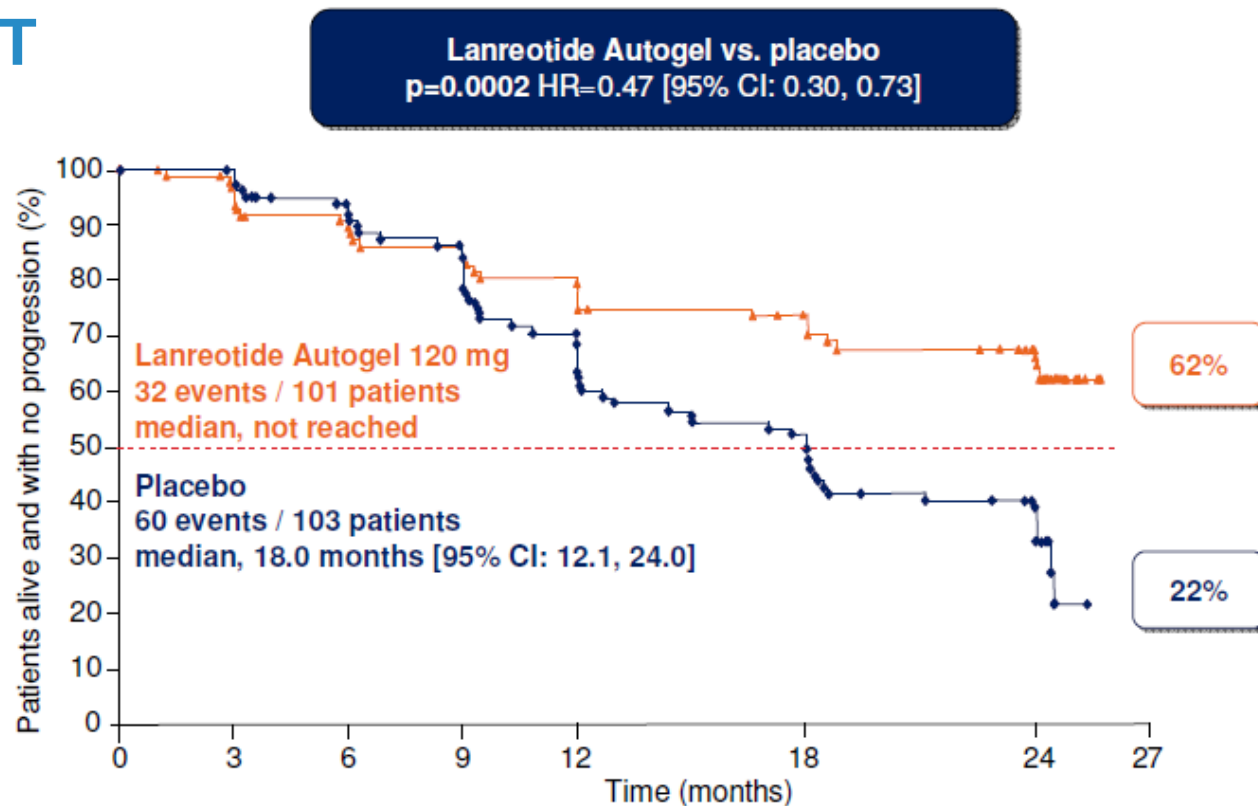




# Somatostatin analogues – anti-proliferative effect

Primary endpoint: PFS (ITT population, N=204)

## CLARINET study



P-value derived from stratified log-rank test; HR derived from Cox proportional hazard model.  
HR, hazard ratio; ITT, intention-to-treat.



# Targeted therapies

## The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

FEBRUARY 10, 2011

VOL. 364 NO. 6

### Sunitinib Malate for the Treatment of Pancreatic Neuroendocrine Tumors

Eric Raymond, M.D., Ph.D., Laetitia Dahan, M.D., Ph.D., Jean-Luc Raoul, M.D.,  
Ivan Borbath, M.D., Ph.D., Catherine Lombard-Bohas, M.D., Juan Valle, M.D.,  
Denis Smith, M.D., Aaron Vinik, M.D., Ph.D., Jen-Shi Chen, M.D., Die  
Pascal Hammel, M.D., Ph.D., Bertram Wiedenmann, M.D., Ph.D., Eric Var  
Shem Patyna, Ph.D., Dongrui Ray Lu, M.Sc., Carolyn Blanckmeister, Ph.D.  
and Philippe Ruszniewski, M.D.

#### ORIGINAL ARTICLE

### Everolimus for Advanced Pancreatic Neuroendocrine Tumors

James C. Yao, M.D., Manisha H. Shah, M.D., Tetsuhide Ito, M.D., Ph.D.,  
Catherine Lombard Bohas, M.D., Edward M. Wolin, M.D.,  
Eric Van Cutsem, M.D., Ph.D., Timothy J. Hobday, M.D., Takuji Okusaka, M.D.,  
Jaume Capdevila, M.D., Elisabeth G.E. de Vries, M.D., Ph.D.,  
Paola Tomassetti, M.D., Marianne E. Pavel, M.D., Sakina Hoosen, M.D.,  
Tomas Haas, Ph.D., Jeremie Lincy, M.Sc., David Lebwohl, M.D.,  
and Kjell Öberg, M.D., Ph.D., for the RAD001 in Advanced Neuroendocrine  
Tumors, Third Trial (RADIANT-3) Study Group

***‘These studies provide optimism regarding the treatment of malignant  
pancreatic neuroendocrine tumors...’***

**NEJM Editorial, February 2011**

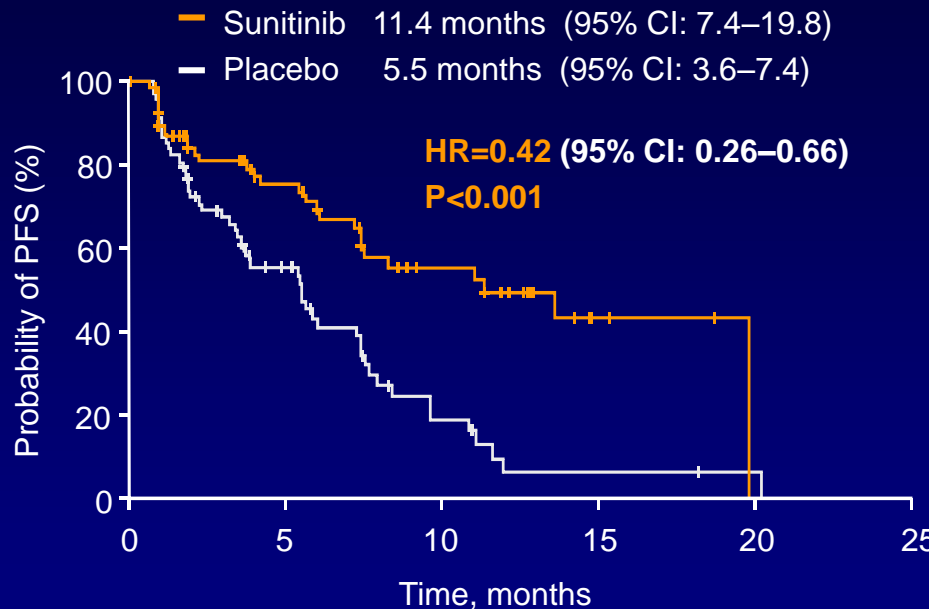


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# Targeted therapies

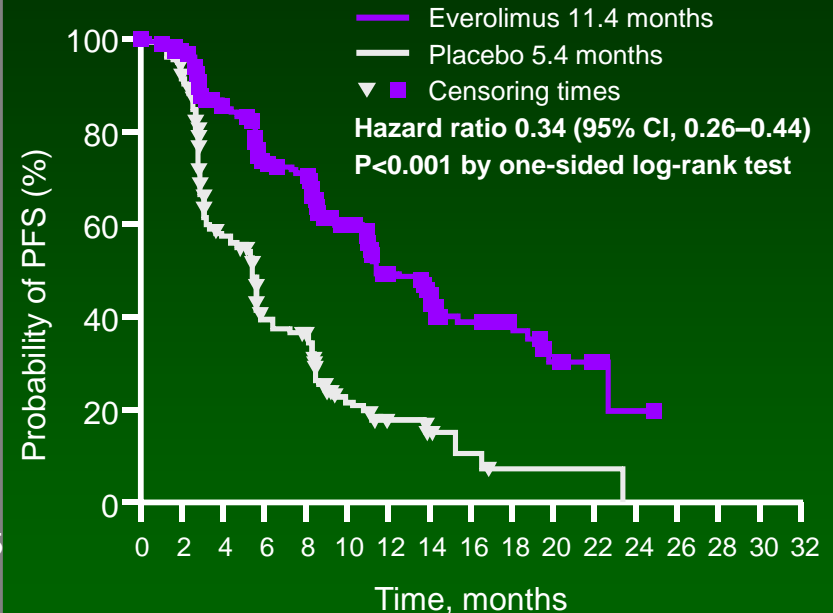
## Sunitinib (VEGF)

### SUN 1111: Median PFS<sup>1</sup>



## Everolimus (mTOR)

### RADIANT-3: Median PFS (Central review)<sup>2</sup>



# Chemotherapy for pancreatic NET: streptozocin-based

Regimen	Reported outcomes
Streptozocin/Doxorubicin <sup>1</sup> Streptozocin/Fluorouracil <sup>1</sup>	RR 69%, OS 26 mo RR 45%, OS 18 mo
Streptozocin/Doxorubicin <sup>2</sup> , Streptozocin/Fluorouracil/Doxorubicin <sup>3</sup> Streptozocin/Fluorouracil/Cisplatin <sup>4</sup>	RECIST: RR ~40%, median OS 24–32 months
NET-01 study (NCRN): Streptozocin/Capecitabine +/- Cisplatin <sup>5</sup>	48/86 patients had pNETs RECIST RR +/-Cisplatin 14%/8%; Median OS (all) 34.7 months

RR: response rate  
OS: overall survival  
CR: complete response  
PR: partial response

<sup>1</sup>Moertel CG, *NEJM* 1992;326(8): 519–523

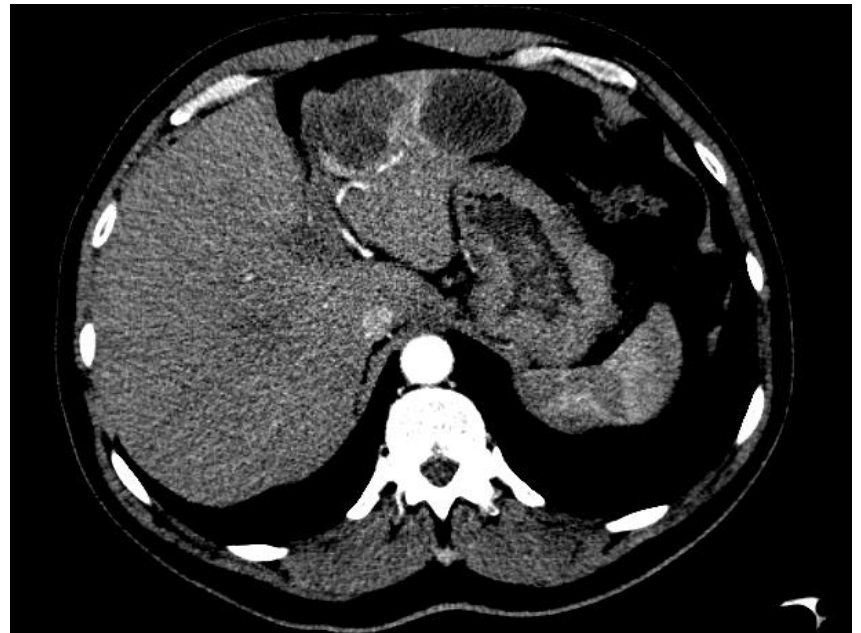
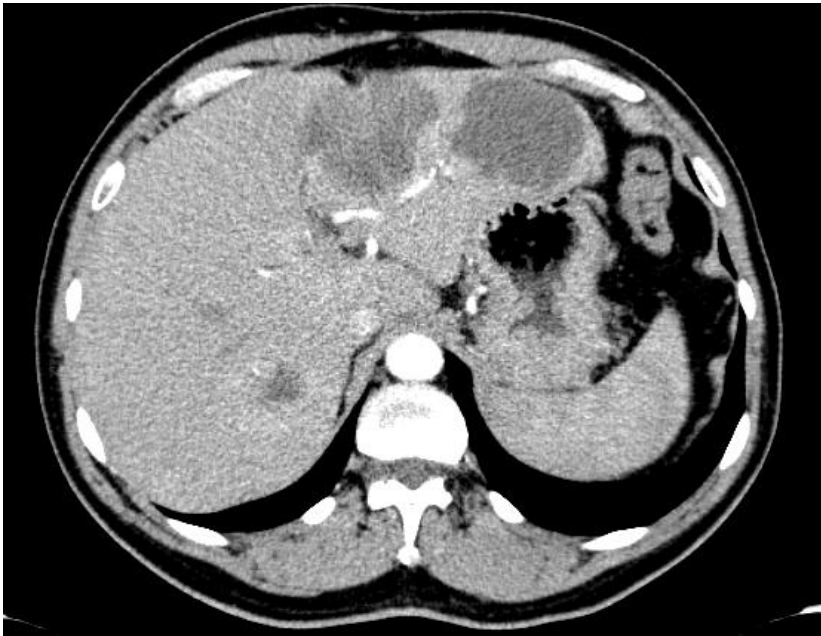
<sup>2</sup>Delaunoit T, et al. *Eur J Canc* 2004;40:515–20;

<sup>3</sup>Kouvaraki M, et al. *J Clin Oncol* 2004;22:4762–71

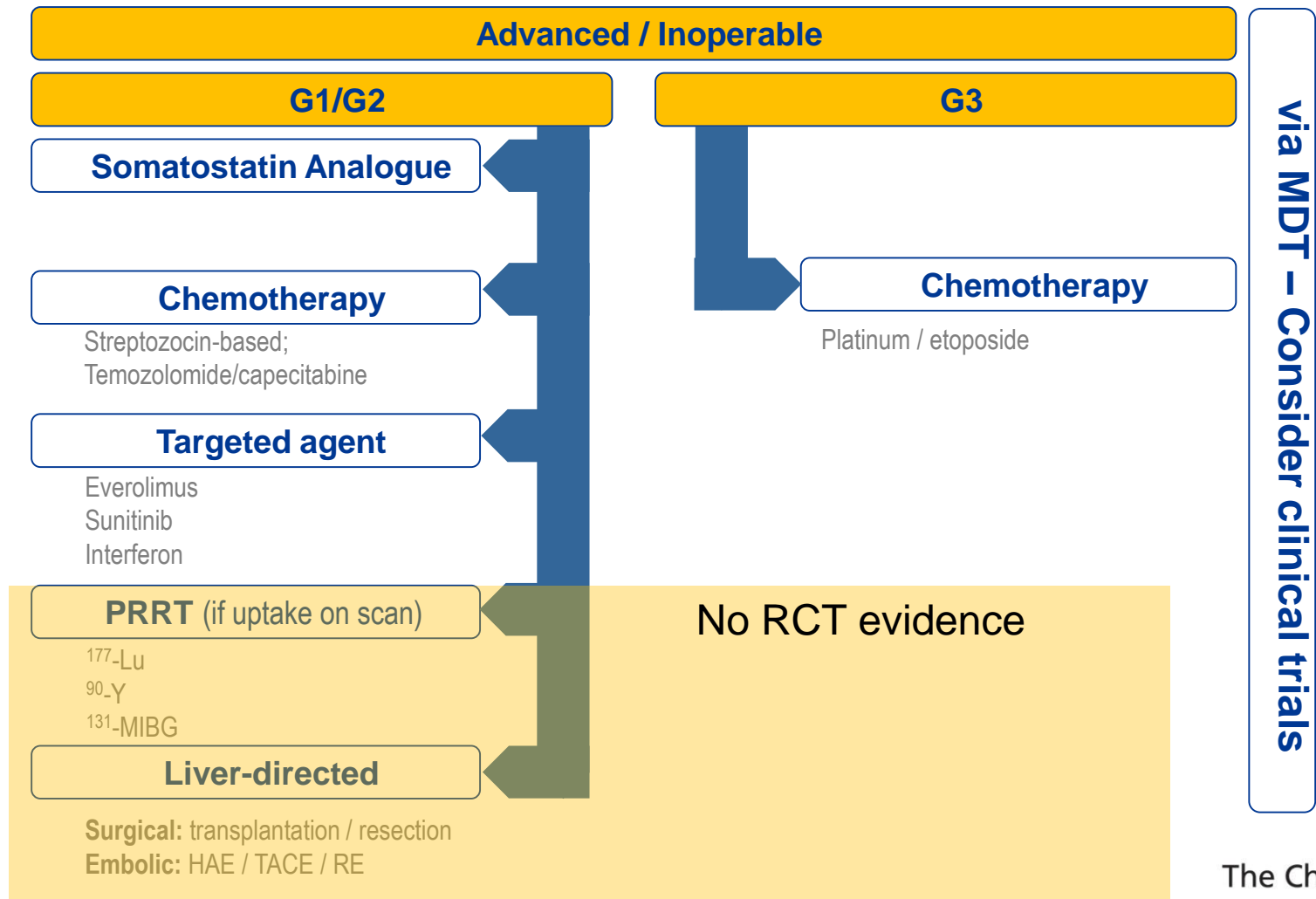
<sup>4</sup>Turner N, et al. *Br J Cancer* 2010;102:1106–12;

<sup>5</sup>Corrie P, et al. *J Clin Oncol* 2012;30(suppl; abstr 4121)

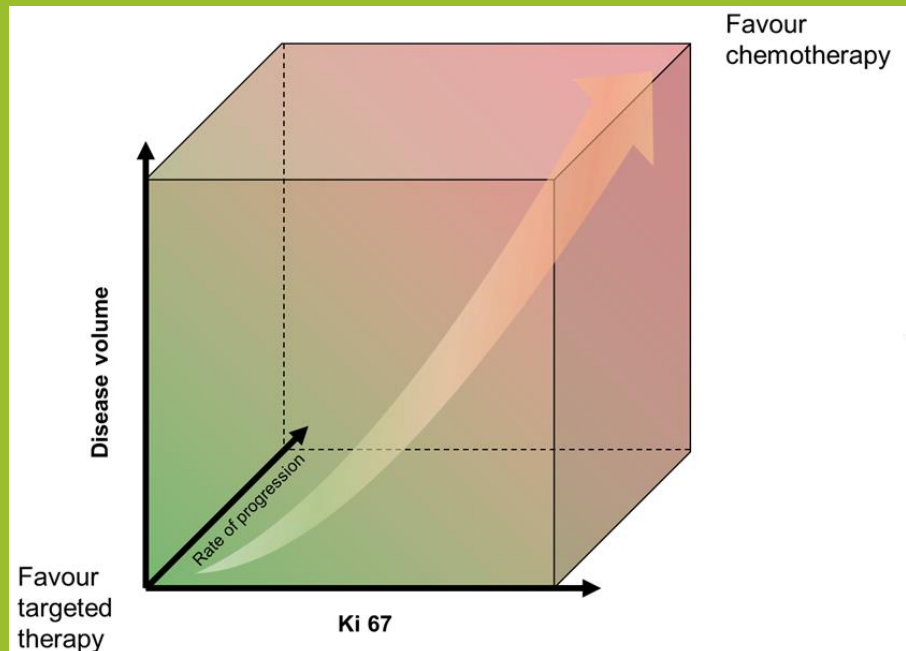
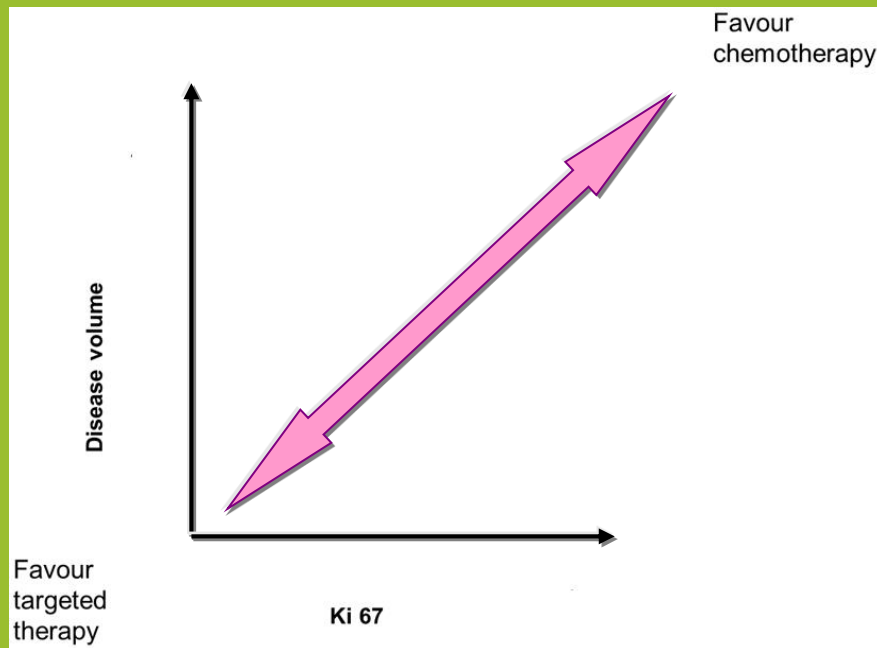
# Chemotherapy for pancreatic NET: streptozocin-based



# Treatment (ii)



# Concept of “mitotically-active” disease- where does PRRT fit?



# Principles to aid decision-making

- Targeted therapies are effective in treatment-naïve as well as chemotherapy pre-treated patients
- Chemotherapy is associated with a higher response rate
- Treatment decision is based on the aims of therapy (disease response vs. TTP)
- Decision may depend on expected toxicities
- Concept of “mitotically-active” disease
- Patients usually live long enough to **receive multiple therapies**
- Need to identify sub-groups of patients (through research) who benefit most from each therapy

• **One-size does not fit all**





# Objectives/Concepts

- NET brief disease description – setting the scene
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# MRT in NET

- MRT established in NET or is it a veneer?
- Is 'standard' truly 'standard' ?
- What do the other NET MDT partners actually think of MRT? (not very complimentary in a Cancer Centre!!)



# Principles of therapeutics- Clinician's view

Therapeutics: treatment and care of a patient for the purpose of both preventing and combating disease or alleviating pain or injury. The term comes from the Greek *therapeutikos*, which means “inclined to serve.”

Encyclopedia Britannica

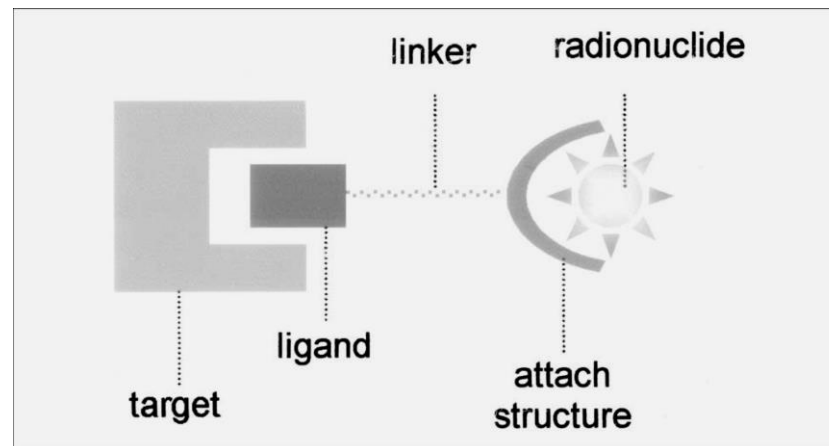
Underlying ethos:

- No harm (toxicity), **lowest dose with highest efficacy**
- Benefit more than risk
- Driving principle

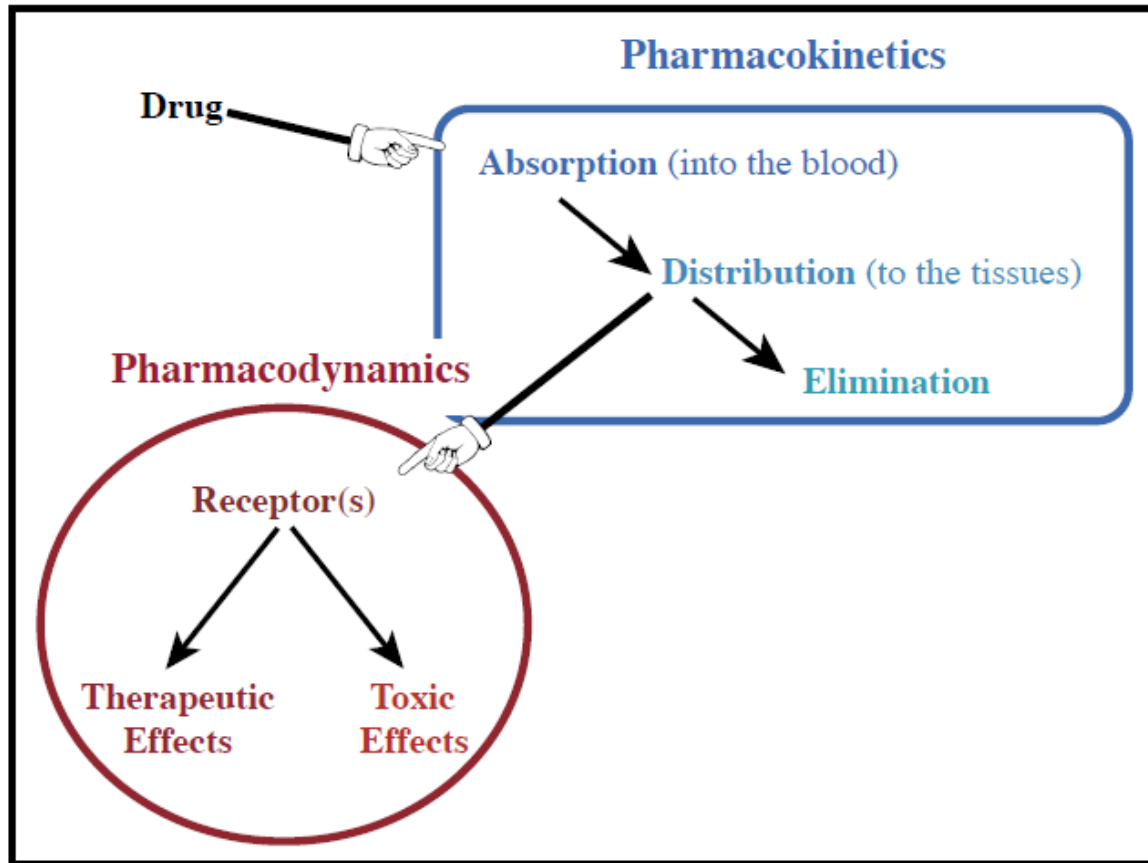


# Therapeutics: Radiopharmaceutical

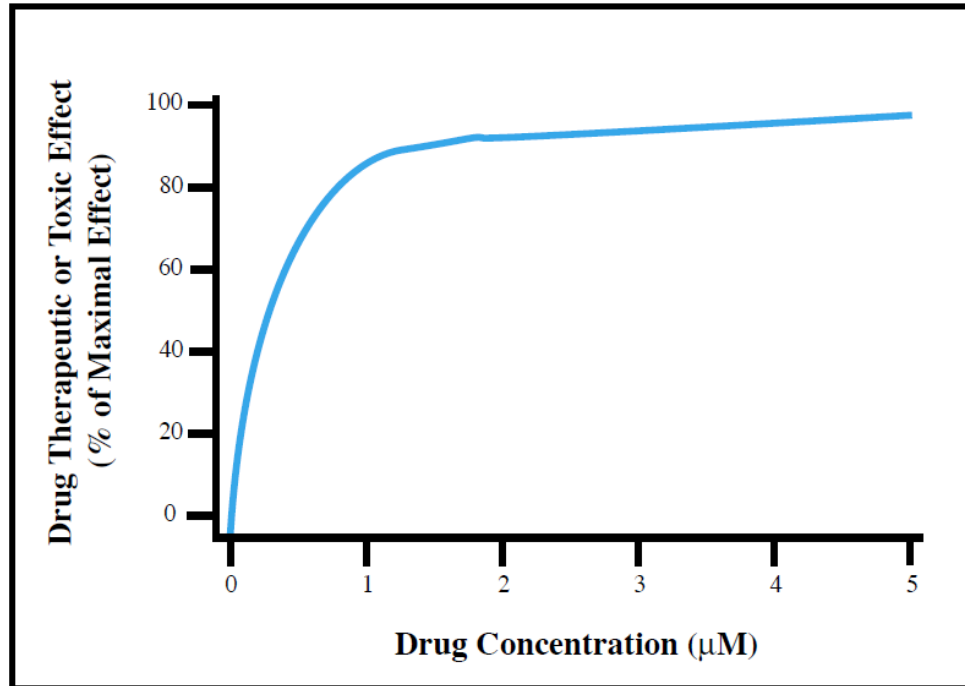
- Unique set of challenges
- Receptor density
- Pharmacokinetic effects
- Radiobiology effects
- Stability of final compound- metal, linker matters
- Not the easiest therapeutic tool!



# Pharmacokinetics



# So what is the plateau for radionuclide therapies ( $^{177}\text{Lu}$ ) in relation to therapeutic effects and toxicity ?



$^{177}\text{Lu}$  'high' versus 'low'  
? 3, 5, 6, 11 GBq

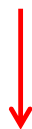
Concept of maximum tolerable dose might have to be revised



# Dose escalation in PRRT

Peptide receptor radionuclide therapy with  $^{177}\text{Lu}$ -DOTATATE: the IEO phase I-II study. Lisa Bodei et al. Eur J Nucl Med Mol Imaging (2011) 38:2125–2135

51 patients divided into two groups



Group 1 received escalating activities (3.7–5.18 GBq/cycle)



Group 2 received (5.18–7.4 GBq/cycle)

Phase 1 non randomised prospective data



# Sub-analysis

- The median cumulative administrated activity in group 1 was 26.4 GBq (3.7–29.2 GBq). Overall objective responses (partial+complete) were registered in **eight patients (38%)**.
- The median cumulative administrated activity in this group was 25.2 GBq (5.55–28.9 GBq). Overall objective responses (partial) were registered in **seven patients (23%)**.
- Thirty patients showed an objective response or stabilization during PRRT (median administered activity at response was **11.1 GBq, range 4.44–29.2**) that was maintained after the end of therapy in 28 cases (93%). Tumour dosimetry showed absorbed doses of 0.56– 56.4 Gy/GBq.





- Conclusion:
- $^{177}\text{Lu}$ -DOTATATE was well tolerated up to 29 GBq cumulative activity (up to 7.4 GBq/cycle).
- The maximum tolerated dose/cycle was not reached.
- However, considering the individual bone marrow function and the presence of risk factors for kidney toxicity, it seems safer to divide cumulative activities into lower activity cycles.

Peptide receptor radionuclide therapy with  $^{177}\text{Lu}$ -DOTATATE: the IEO phase I-II study. Lisa Bodei et al. Eur J Nucl Med Mol Imaging (2011) 38:2125–2135



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- NOT HIGHLIGHTED- ? HOOKED TO HIGHER DOSES



# Does dose matter in PRRT treatment?

- The results imply a significant correlation between absorbed dose and tumor reduction. However, further studies are necessary to address **the large variations in response for similar absorbed doses**

Dose response of pancreatic neuroendocrine tumors treated with peptide receptor radionuclide therapy using  $^{177}\text{Lu}$ -DOTATATE. Ilan E et al. J Nucl Med. 2015 Feb;56(2):177-82.



# Long term effects of PRRT

Haematological (retrospective 632 patients)

- The only preexisting factor that contributed to hematotoxicity was initial cytopenia ( $P$ , 0.001).
- A high level of cumulative administered activity (.29.6 GBq) was associated with relevant leukopenia ( $P$ , 0.001).

Long-Term Hematotoxicity After Peptide Receptor Radionuclide Therapy with  $^{177}\text{Lu}$ -Octreotate. Amir Sabet et al. J Nucl Med 2013; 54:1857–1861



# Long term effects of PRRT

Renal (prospective dose escalation/safety study)

- A median decrease of creatinine clearance of 21.7% 6 months after PRRT
- 23.9% after 1 year and 27.6% after 2 years was observed.
- Higher losses (>20%) occurred in patients with risk factors for renal toxicity, particularly hypertension and diabetes.

Peptide receptor radionuclide therapy with  $^{177}\text{Lu}$ -DOTATATE: the IEO phase I-II study. Lisa Bodei et al. Eur J Nucl Med Mol Imaging (2011) 38:2125–2135



## Underlying ethos:

- No harm (toxicity), **lowest dose with highest efficacy- no PRRT RCT yet**
- Benefit more than risk
- Driving principle
- By opting for unproven therapies might negatively impact patient care by denying access to future therapies

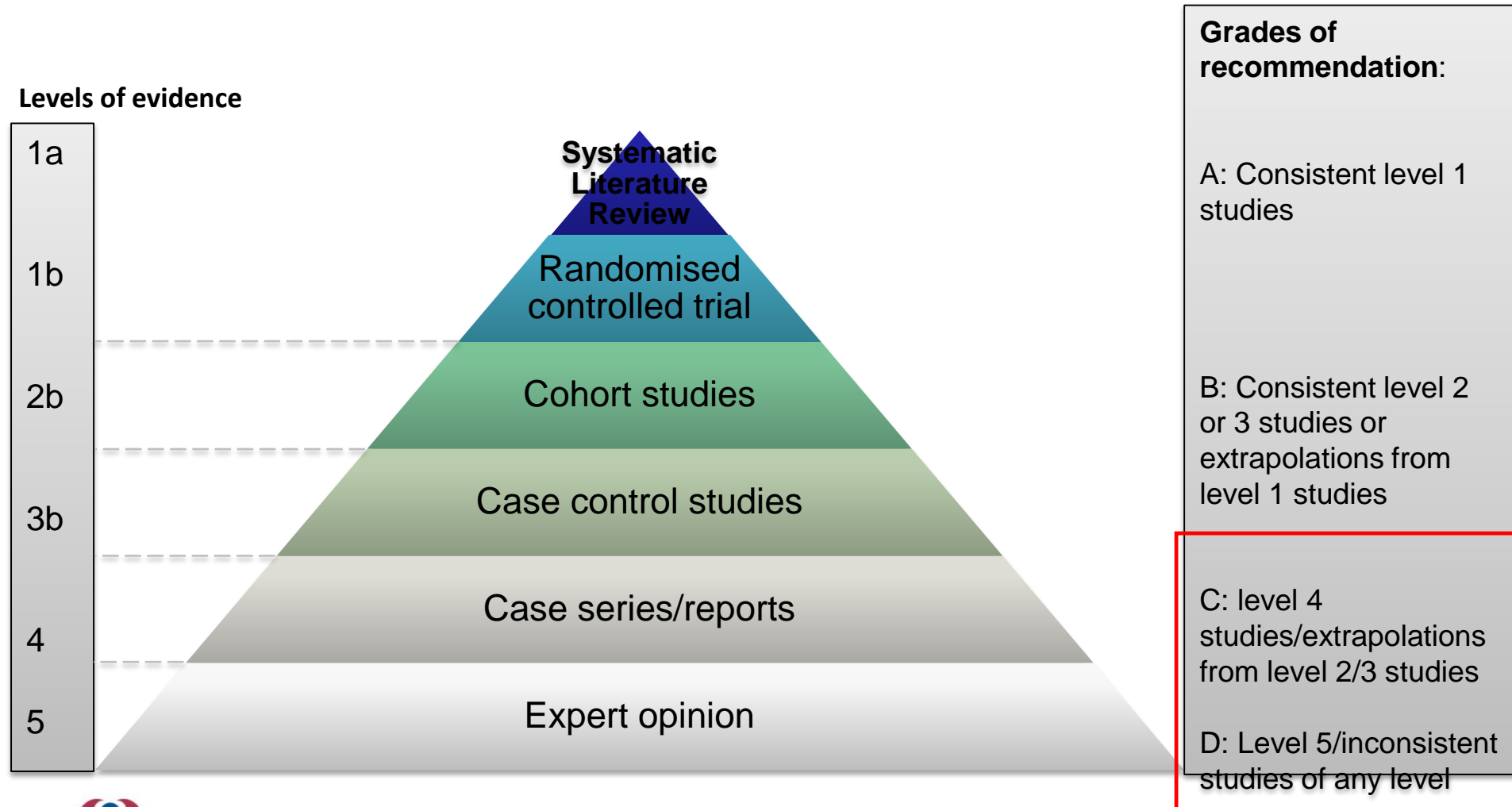


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# Oxford Levels of Type of Evidence & Grades of recommendation





# MRT in NET: Many unanswered questions

- NET patients have a long survival
- Which patients, dose?
- Which dosimetry method/software package?
- Predictive and prognostic indicators (some signals in relation to these)



# MRT in NET- proposals for the future

- RCT with differing doses- NETTER-2 (5 GBq versus 7 GBq) with genetic, blood and patient sub analysis
- RCT- PRRT + Molecular targets- chose well
- Standardised dosimetry package and its correlation with patient outcomes
- Prospective registry- all NET networks need to implement this with agreed standardisation/dosimetry package



# Summary – pNETs (future GEP NETS?)

- NETs are not so rare...surgery remains the only chance of long-term cure in malignant tumours
- Treatment principles may held in decision-making in the changing therapy paradigm:
  - VEGF-inhibition: sunitinib
  - mTOR inhibition: everolimus
  - Somatostatin analogues: lanreotide
- Molecular insights may allow “enrichment” of patient populations
- Clinicians and patients should be encouraged to participate in clinical trials



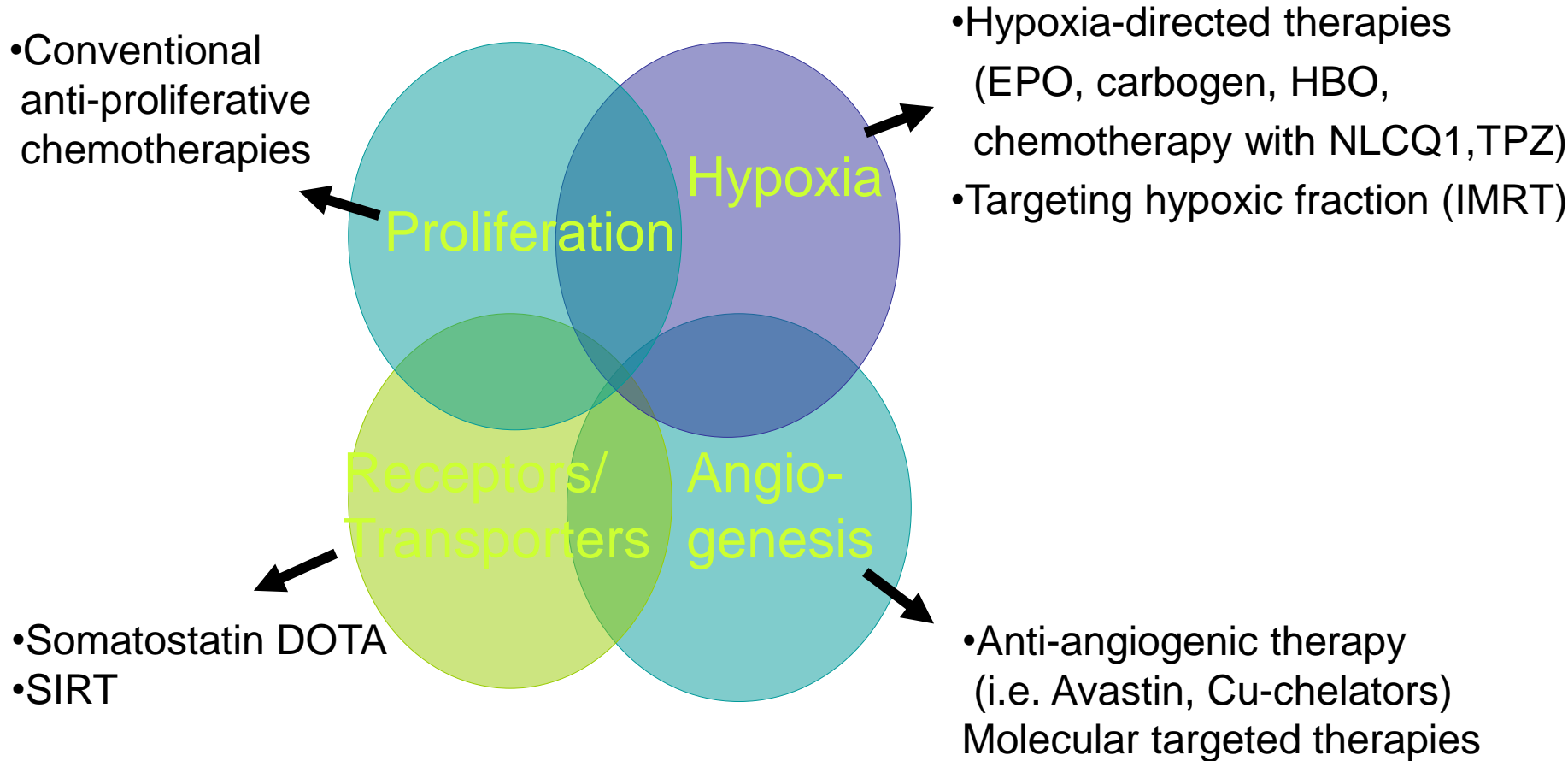


## Summary 2

- NO EVIDENCE TO SUPPORT DOSE ESCALATION- ON THE CONTRARY
- Paradigm shift will happen to all GEP NET we need to prepare and work out future RCTs
- Novel tracers/Theranostics/targeted therapies showing promise for future- NET complex
- More standardised prospective registry based protocols prior to acceptance as 'standard of care'
- Imperative to develop this service to deliver individualised therapy and provide best standard of care to our patients.
- Sequencing!!



# Individualisation of Tumour Therapy



# Acknowledgements

- The Christie Nuclear Medicine/ CMPE department
- Department of Radiology
- ENETs NET team- especially Professor Valle for a number of slides



# Thank you

## Era of molecular imaging/ therapy



# MRT dose escalation in NET a Clinicians view

- ? RCT
- ? RCT
- ? RCT
- Ongoing trials
  - NETTER-1
  - VIBRaNT

